## Attorney Docket No. IMMR-045/04US

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of James F. KRAMER

Serial No.:

09/439,766

Examiner:

Donald W. Underwood

Confirmation No.: 2089

Art Unit:

3652

Filed: November 15, 1999

For:

FORCE FEEDBACK AND TEXTURE SIMULATING INTERFACE DEVICE

U.S. Patent and Trademark Office 220 20<sup>th</sup> Street South Customer Window, Mail Stop RCE Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

is being submitted in duplicate.

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[x]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
	[] a statement as specified in 37 C.F.R. §1.97(e).
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
[]	Check in the amount of \$ for the total fee is attached.
[]	A return receipt postcard is also enclosed.
[]	Please charge \$ to Deposit Account No. 50-1283 for the total fee. This paper

Attorney Docket No.: IMMR-045/04US Application Serial No. 09/439,766 Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: June 17, 2004

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656 Tel: (703) 456-8000

Fax: (703) 456-8100

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Respectfully submitted, COOLEY GODWARD LLP

By:

Erik B. Milch Reg. No. 42,887



**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	re app	lication	of J	lames	F.	KRAMER
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# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

which cites such non-English language publication(s).

[x]	Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is provided on the enclosed CD.
[]	No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No.
	to which the above-identified application claims priority under 35 U.S.C. §120.
	No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
[]	Publication(s) listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to application serial no. and mailed on
[]	Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report,

Enclosed is a copy of a non-English publication(s) \_\_\_. English language

publication (copy enclosed) claims priority from this non-English publication.

Attorney Docket No.: IMMR-045/04US Application Serial No. 09/439,766 Page 2

within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);  [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;  [] before the mailing date of a first office action on the merits; or  [x] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.  It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.  Dated: June 17, 2004  Cooley Godward LLP  ATTN: Patent Group One Freedom Square Reston Town Center  Respectfully submitted,  COOLEY GODWARD LLP  Erik B. Milch					
attached Form PTO/SB/08A.  [] Enclosed is a copy of pending patent Application Serial No  This Information Disclosure Statement is filed within any one of the following time periods:  [] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);  [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;  [] before the mailing date of a first office action on the merits; or  [x] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.  It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.  Dated: June 17, 2004  Respectfully submitted, COOLEY GODWARD LLP  ATTN: Patent Group One Freedom Square Reston Town Center  By:  Erik B. Milch	[]		•		
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Reston, VA 20190-5656			,		

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Tel: (703) 456-8000 Fax: (703) 456-8100

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Donald W. Underwood

3652

Substitute for form 1449A/PTO

Complete if Known

Application Number 09/439,766

INFORMATION DISCLOSURE Filing Date November 15, 1999

STATEMENT BY APPLICANT

First Named Inventor James F. Kramer

Examiner Name

Art Unit

(use as many sheets as necessary)

Sheet 1 of 3 Attorney Docket Number IMMR045/04US

			U.S. PATENT DOC	UMENTS	
		Document Number			
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		6,422,941	7/23/2002	Thorner et al.	
		6,160,489	12/12/2000	Perry et al.	
		6,111,577	8/29/2000	Zilles et al.	
		5,690,582	11/25/1997	Ulrich et al.	
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		5,283,970	2/8/1994	Aigner	
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		4,127,752	11/28/1978	Lowthorp	
		2,972,140	2/14/1961	Hirsch	

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Turns the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known 09/439,766 **Application Number** INFORMATION DISCLOSURE Filing Date November 15, 1999 STATEMENT BY APPLICANT James F. Kramer **First Named Inventor** Art Unit 3652 (use as many sheets as necessary) **Examiner Name** Donald W. Underwood IMMR045/04US Sheet Attorney Docket Number

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		PATRICK, "Design, Construction, and Testing of a Fingertip Tactile Display for Interaction with Virtual and Remote Environments," <i>Master of Science Thesis</i> , MIT, Aug. 1990, archived Nov. 8, 1990.
		CALDER, "Design of A Force-Feedback Touch-Introducing Actuator For Teleoperator Robot Control," Bachelor of Science Thesis, MIT, May 1983, archived June 23, 1983.
		WIKER, "Teletouch Display Development: Phase 1 Report," <i>Technical Report 1230</i> , Naval Ocean Systems Center, San Diego, July 1988.
		BLISS, "Optical-to-Tactile Image Conversion for the Blind," <i>IEEE Transactions on Man-Machine Systems</i> , Vol. MMS-11, No. 1, March 1970.
		JOHNSON, "Shape-Memory Alloy Tactile Feedback Actuator," Armstrong Aerospace Medical Research Laboratory, AAMRL-TR-90-039, August, 1990.
		KONTARINIS et al., "Tactile Display of Vibratory Information in Teleoperation and Virtual Environments," PRESENCE, 4(4):387-402, Harvard Univ., 1995.
		AUKSTAKALNIS et al., "Silicon Mirage: The Art and Science of Virtual Reality," ISBN 0-938151-82-7, pp. 129-180, 1992.
		EBERHARDT et al., "Inducing Dynamic Haptic Perception by The Hand: System Description and Some Results," DSC-Vol. 55-1, Dynamic Systems and Control: Volume 1, ASME 1994.
		GOBEL et al., "Tactile Feedback Applied to Computer Mice," International Journal of Human-Computer Interaction, Vol. 7, No. 1, pp. 1-24, 1995.
		PIMENTEL et al., "Virtual Reality: through the new looking glass," 2 <sup>nd</sup> Edition; McGraw-Hill, ISBN 0-07-050167-X, pp. 41-202, 1994.
		"Cyberman Technical Specification," Logitech Cyberman SWIFT Supplement to Logitech Mouse Technical Reference and Programming Guide, 4/5/1994.
		OUHYOUNG et al., "The Development of A Low-Cost Force Feedback Joystick and Its Use in the Virtual Reality Environment," <i>Proceedings of the Third Pacific Conference on Computer Graphics and Applications, Pacific Graphics</i> '95, Seoul, Korea, 21-24 August 1995.
		KACZMAREK et al., "Tactile Displays," Virtual Environment Technologies, Chap. 9, pp. 349-414.
		LAKE, "Cyberman from Logitech," at http://www.ibiblio.org/GameBytes/issue21/greviews/cyberman.html, 1994.
		YAMAKITA et al., "Tele-Virtual Reality of Dynamic Mechanical Model," Proceedings of the 1992 IEEE/RSJ International Conference on Intelligent Robots and Systems, Raleigh, NC, July 7-10, 1992

Examiner	Date	
Signature	Considered	

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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		NOLL, "Man-Machine Tactile," SID Journal, July/August 1972 Issue.				
		ROSENBERG, "Virtual Fixtures: Perceptual Overlays Enhance Operator Performance In Telepresence Tasks," Ph.D. Dissertation, Stanford University, June 1994.	-			
		ZILLES, "A Constraint-Based God-Object Method for Haptic Display," Department of Mechanical Engineering, Artificial Intelligence Laboratory, Massachusetts Institute of Technology, undated.				

Examiner	Date	
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